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Technology Center 1600

Art Unit 1645

Examiner Joseph F. Murphy

FROM:

Camille Jolly-Tornetta, Ph.D.

NO. OF PAGES:

9 (including this page)

RE:

Application No.:

09/965,703 Palli et al.

Applicant

September 26, 2001

Filed Art Unit

1645

Examiner

Joseph F. Murphy

Docket No.

A01020B

Customer No.

37978

CERTIFICATE OF FACSIMILE

I hereby certify that the following correspondence is being facsimile transmitted to the United States Patent and Trademark Office on the date indicated next to my signature below:

Establishment of Small Entity Status (1 pg.)
Request for Extension of Time (1 pg.)
Response to Restriction (5 pgs.)
Fee Transmittal (1 pg.)

March 2, 2004 Date Camille Johy-Tornetta

703-872-9306

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PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
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U.S. Patent and Tredsmark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. Complete if Known **FEE TRANSMITTAL** 09/965,703 Application Number September 26, 2001 Filing Date for FY 2004 Palli First Named Inventor Effective 10/01/2003. Petent fees are subject to annual revision. Joseph F. Murphy Examiner Name Applicant claims small entity status. See 37 CFR 1.27 1645 Art Unit (\$) 740.00 A01020B Attorney Docket No. TOTAL AMOUNT OF PAYMENT FEE CALCULATION (continued) METHOD OF PAYMENT (check all that apply) 3. ADDITIONAL FEES Money Other Check Credit card Large Entity , Small Entity Fee Description ✓ Deposit Account: Fee Code Fee Code Fee (\$) Fee Paid Deposit 65 Surcharge - late filing fee or oath 502860 2051 1051 130 Account Number 25 Surcharge - late provisional filing fee or 1052 50 2052 Deposit RheoGene, Inc. Account 130 1053 130 Non-English specification 1053 Name The Director is authorized to: (check all that apply) 1812 2,520 For filing a request for ex parte reexamination 1812 2,520 Credit any overpayments 920\* Requesting publication of SJR prior to Examiner action Charge fee(s) indicated below 920 1804 1804 Charge any additional fee(s) or any underpayment of fee(s) Requesting publication of SIR after 1805 1,840" Charge fee(a) indicated below, except for the filing fee 1805 1,840 Examiner action to the above identified deposit account. Extension for reply within first month 2251 55 1251 110 FEE CALCULATION 210 Extension for reply within second month 2252 1252 420 1. BASIC FILING FEE 475 Extension for reply within third month 1253 950 2253 arge Entity Small Entity \$740.00 Fee Pald 740 Extension for reply within fourth month 1,480 2254 Fee Description Fee (\$) 1,005 Extension for repty within fifth month 1255 2,010 Utility filing fee 2001 385 1001 770 330 165 Notice of Appeal 1401 Design filing fee 2002 170 1002 340 165 Filing a brief in support of an appeal 2402 330 Plant filing fee 1003 530 2003 265 145 Request for onel hearing 1403 290 2403 Reissue filing fee 2004 385 1004 770 1451 1,510 Petition to institute a public use proceeding 1451 1.510 1005 160 2005 Provisional filling fee 55 Petition to revive - unavoidable 1452 110 2452 SUBTOTAL (1) | (\$) 1,330 1453 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Littlity issue fee (or reissue) Fee from 240 Design issue fee 2502 480 Fee Paid 1502 Extra Claims below 320 Plant Issue fee 1503 640 2503 Total Claims Independent Claims 130 Petitions to the Commissioner 1460 130 1460 50 Processing fee under 37 CFR 1.17(q) Multiple Dependent 50 1607 1807 180 Submission of Information Disclosure Stmt 180 1806 1806 Small Entity arge Entity 40 Recording each patent assignment per property (times number of properties) Fee Description Fee Fec Code (\$) Code (\$) 40 8021 8021 385 Filling a submission after final rejection (37 CFR 1.129(a)) Claims in excess of 20 1202 18 2202 770 2809 1809 Independent claims in excess of 3 2201 43 1201 86 385 For each additional invention to be examined (37 CFR 1.129(b)) Multiple dependent cisim, if not paid 1810 770 2810 2203 145 1203 290 Reissue Independent claims over original patent 2204 43 1204 88 385 Request for Continued Examination (RCE) 770 2801 1801 900 Request for expedited examination of a design application 1802 900 \*\* Release claims in exces and over original patent 1802 2205 1205 Other fee (specify) (\$) (\$) 740.00 SUBTOTAL (2) \*Reduced by Basic Flling Fee Paid SUBTOTAL (3) or number previously paid, if greater; For Relsaues, see above SUBMITTED BY Realstration No. Telephone 610/850-8734 48.592 Camille Jolly-Tornetta Name (Print/Type) (Attorney/Adgmt) March 2, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

MAR 0 2 2004

Application No.

09/965,703

Applicant

Palli et al.

Filed

September 26, 2001

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1645

Examiner

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Docket No.

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Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## ESTABLISHMENT OF SMALL ENTITY STATUS

Sir:

Applicants assert that small entity status in accordance with 37 CFR  $\S$  1.27(a) is entitled for the above-identified patent application.

Respectfully submitted,

Camille Jelly-Tornetta, Ph.D.

Agent for Applicant Registration No. 48, 592

RheoGene, Inc. 2650 Eisenhower Avenue Norristown, PA 19403 610/650-8734

Date: March 2, 2004